SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* FINN BRIAN D			2. Issuer Name and Ticker or Trading Symbol Sarcos Technology & Robotics Corp [5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner							
(Last)	st) (First) (Middle)		STRC]		Officer (give title below)		Other (specify below)				
C/O SARCOS TECHNOLOGY AND ROBOTICS CORP		AND ROBOTICS	3. Date of Earliest Transaction (Month/Day/Year) 09/24/2021								
360 WAKARA	360 WAKARA WAY										
(Street) SALT LAKE CITY	UT 84108		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applica Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip)									

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/24/2021		A		130,000	A	\$ <u>10</u>	371,473 ⁽¹⁾	Ι	See footnote ⁽¹⁾
Common Stock	09/24/2021		A		12,500	A	\$10	12,500 ⁽²⁾	I	See footnote ⁽²⁾
Common Stock								8,942,957	Ι	See footnote ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Expiration Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			ate	Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On April 5, 2021, the issuer entered into subscription agreements with certain investors (the "PIPE Investors") pursuant to which the PIPE Investors agreed to purchase shares of the issuer's common stock for \$10.00 per share in connection with, and conditioned upon, the substantially concurrent consummation of certain transactions, including the Agreement and Plan of Merger, dated as of April 5, 2021, as amended on August 28, 2021, by and among Sarcos Corp., Rotor Merger Sub Corp. and Rotor Acquisition Corp. (the "Business Combination"). As one of the PIPE Investors, on September 24, 2021, Marstar Investments LLC ("Marstar") acquired 130,000 shares of the issuer's common stock for \$10.00 per share. Mr. Finn is the administrator of Marstar and has sole voting and dispositive power over the shares that will be directly held by Marstar. Mr. Finn disclaims beneficial ownership of these shares except to the extent of any pecuniary interest therein.

2. On April 5, 2021, the issuer entered into subscription agreements with certain PIPE Investors pursuant to which the PIPE Investors agreed to purchase shares of the issuer's common stock for \$10.00 per share in connection with, and conditioned upon, the substantially concurrent consummation of certain transactions, including the Business Combination. As one of the PIPE Investors, on September 24, 2021, Gee Jay LLC ("Gee Jay") acquired 12,500 shares of the issuer's common stock for \$10.00 per share. Mr. Finn is the trustee of the Gee Jay. Mr. Finn disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

3. Represents shares held by Rotor-Sarcos LLC ("Rotor-Sarcos"). Mr. Finn has shared control of Rotor-Sarcos. As such, has shared voting and dispositive power over the shares owned by Rotor-Sarcos. Mr. Finn disclaims beneficial ownership of these shares except to the extent of any pecuniary interest therein.

Remarks:

/s/ Julie Wolff, Attorney-in-09/27/2021 fact on behalf of Brian D. Finn

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.